

BIAS NEGLI STUDI CLINICI CONTROLLATI



SILVIO GARATTINI



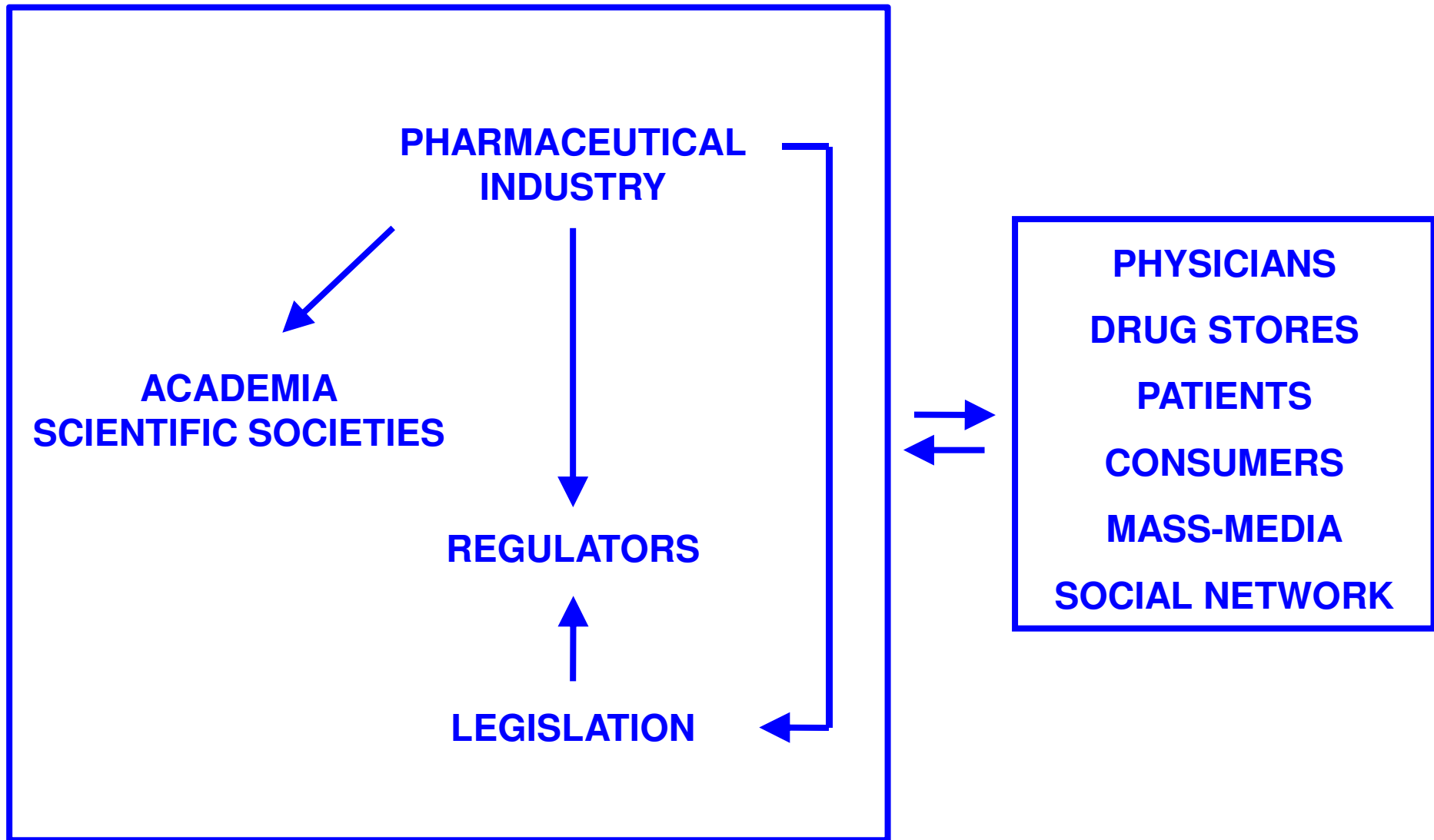
Roma, 17 Novembre 2015

True uncertainty
What's best for the patients?

~~To treat in any case~~
~~↓~~
~~To expose to possible risks~~

~~Not to treat in any case~~
~~↓~~
~~To deny possible benefits~~

To test
↓
Randomisation
as the only scientific and ethical way to solve uncertainty



PRE-MARKET



POST-MARKET

IMPROVEMENT INDUCED BY 281 NEW MEDICINAL PRODUCTS

MEDIAN

0,097 QALY

51%

$\leq 0,1$ QALY

12%

$\geq 1,0$ QALY

Basic Clin Pharmacol
2009, 105, Suppl. 1, 032

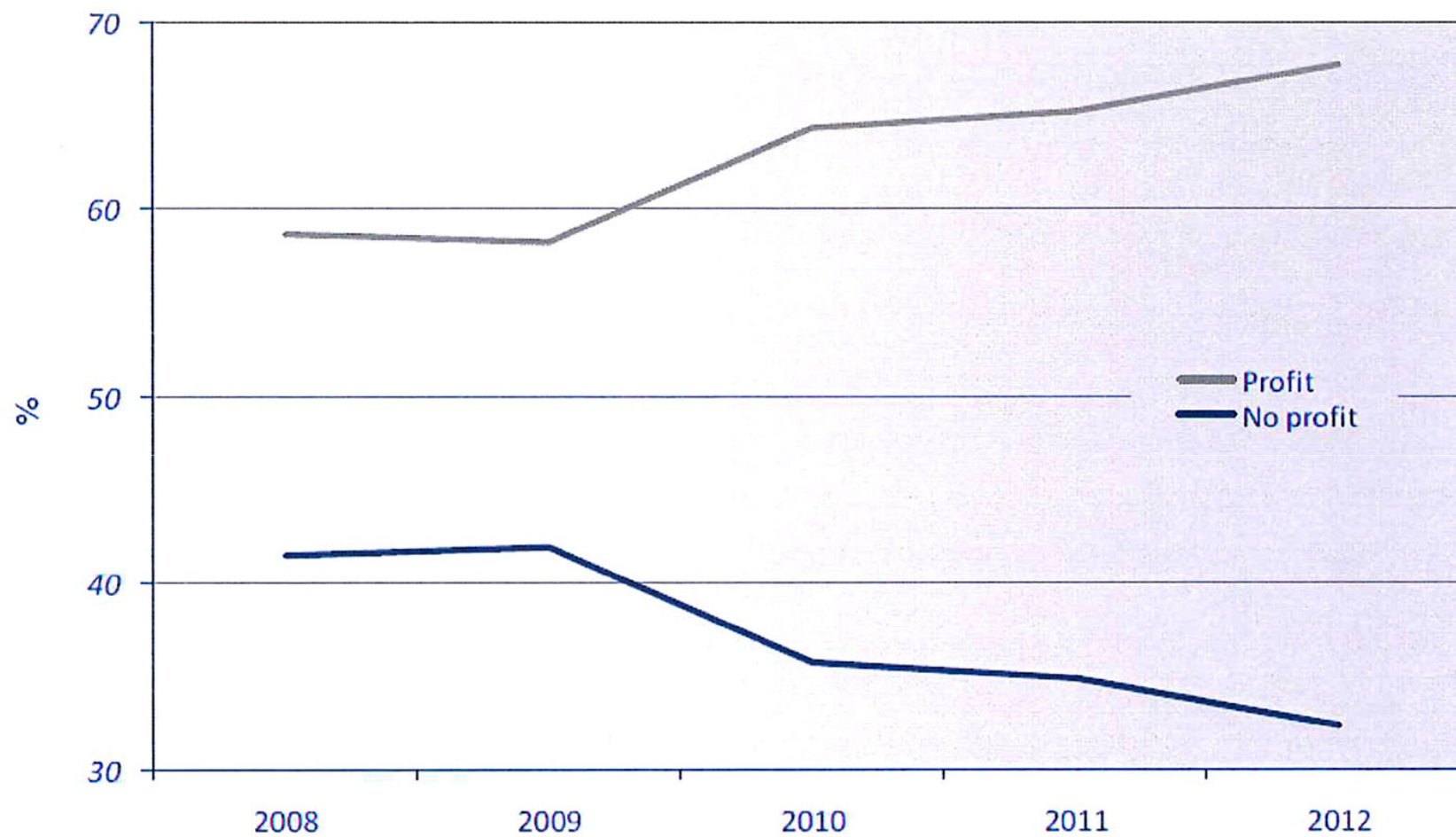
Walker et al., 2009

**EMA Conditional Approvals (2006-2014) and their
Prescribe ratings (per indication)**

Prescribe Rating	Number of drugs	Percentage
Bravo	0	0
A real advance	0	0
Offers an advantage	4	19
Possibly helpful	4	19
Nothing new	2	10
Judgment reserved	6	29
Not acceptable	5	24

Clinical trials per year and profit / no profit Sponsors

Total CT: 3,684



Source: 12° National Report on Clinical Trial in Italy- 2013

ITALY

N. of studies non-profit 2008 - 2012

- 38 %

Position of Italy n. of recluted patients

23rd

Clinical Trial Attractiveness Index

> 30th

3 KEYWORDS

- **EVIDENCE**

EVIDENCE

- INAPPROPRIATE USE OF PLACEBO

Characteristics of efficacy evidence supporting approval of supplemental indications for prescription drugs in United States, 2005-14: systematic review

Bo Wang, Aaron S Kesselheim

[BMJ 2015;351:h4679](#)

Characteristic	Trial comparators in efficacy studies supporting approval of new drug indications		
	Active comparator	Placebo comparator	No comparator
	Supplemental indications	Supplemental indications	Supplemental indications
Supplement category:			
New indication	41/136 (30)	77/136 (57)	18/136 (13)
Modified indication	47/93 (51)	39/93 (42)	5/93 (5)
Expanded population	7/65 (11)	26/65 (40)	22/65 (34)

Characteristic	Trial comparators in efficacy studies supporting approval of new drug indications		
	Active comparator	Placebo comparator	No comparator
	Supplemental indications	Supplemental indications	Supplemental indications
Chemical type:			
Small molecule	68/209 (33)	98/209 (47)	36/209 (17)
Biologic	27/85 (32)	44/85 (52)	9/85 (11)

RELATIVE RISK REDUCTION OF RELAPSES IN RESPECT TO PLACEBO

IFN β -1a	32 %	(1996)
IFN β -1b	28 %	(1995)
GLATIRAMER	29 %	(1995)

RCT CARRIED OUT AGAINST PLACEBO

CLADRIBINE (2010)

DIRUCOTIDE (2011)

NATALIZUMAB (2006)

TERIFLUNOMIDE (2011)

FINGOLIMOD (2010)

LAQUINIMOD (2012)

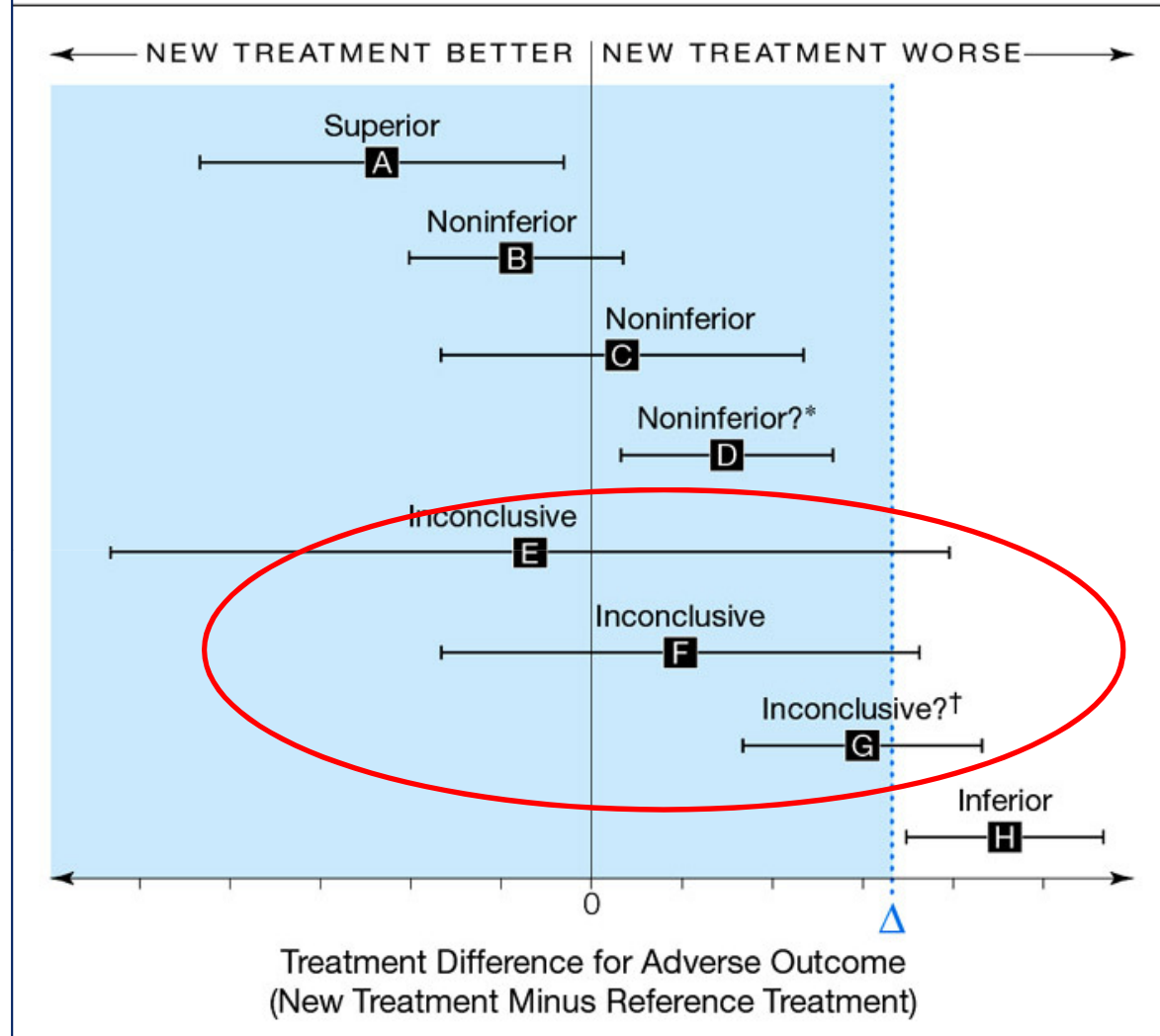
**EXCESS OF RELAPSES THAT
COULD BE AVOIDED IF A COMPARATOR
WOULD HAVE BEEN USED INSTEAD OF PLACEBO**

CLADRIBINE	79	DIRUCOTIDE	21
NATALIZUMAB	138	TERIFLUNOMIDE	123
FINGOLIMOD	100	LAQUIMOD	130
TOTAL RELAPSES 591			

EVIDENCE

- INAPPROPRIATE USE OF PLACEBO
- DESIGN OF NON INFERIORITY

Possible Scenarios of Observed Treatment Differences for Adverse Outcomes (Harms) in Noninferiority Trials



Piaggio et al., 2006

IN THE NON-INFERIOR TYPE OF TRIAL WITH AN ACTIVE CONTROL, INVESTIGATORS ARE TESTING THE NULL HYPOTHESIS THAT A NEW DRUG IS WORSE THAN THE ACTIVE CONTROL (STANDARD) AND WHEN THEY CAN REJECT THE NULL HYPOTHESIS THEY ACCEPT THE ALTERNATIVE, THAT THE NEW DRUG IS NOT WORSE THAN THE ACTIVE CONTROL.

ARAS, 2001
DRUG INFORMATION J.35,1157

CICLESONIDE

POTENZIALI VANTAGGI:

- METABOLITA ATTIVO NEL POLMONE (des-ciclesonide)
- MAGGIORE AFFINITÀ PER IL RECETTORE
- PICCOLE PARTICELLE (1,9 μm)
- UNA SOLA DOSE AL GIORNO

Two studies were described as randomised double-blind parallel group designs (Hiremath 2006; Paunovic 2010) and four studies as randomised double-blind double-dummy parallel group designs (Pedersen 2006; Pedersen 2009; Vermeulen 2007; von Berg 2007). All were designed as non-inferiority studies on lung function. The six studies randomised 3256 children with asthma and included children between the age of 4 and 17 years.

New medications should either be more effective, safer or cheaper before they can be recommended for clinical practice. Because older medications have been used for longer periods of time, more knowledge is available on their long-term safety and they are usually cheaper than new drugs (resource use). As far as we are aware there were few data available for the cost-effectiveness of ciclesonide compared to other ICS.

Kramer et al., 2013

**Fluticasone/Formoterol Combination Therapy
versus Budesonide/Formoterol for the Treatment
of Asthma: A Randomized, Controlled,
Non-Inferiority Trial of Efficacy and Safety**

Anna Bodzenta-Lukaszyk M.D., Roland Buhl M.D.,
Beatrix Balint M.D., Mark Lomaxd, Kay Spoonerd &
Sanjeeva Dissanayake M.D.

Journal of Asthma, 2012, 49:10, 1060-1070

338 NON-INFERIORITY TRIALS
(JANUARY 2012 – JUNE 2014)

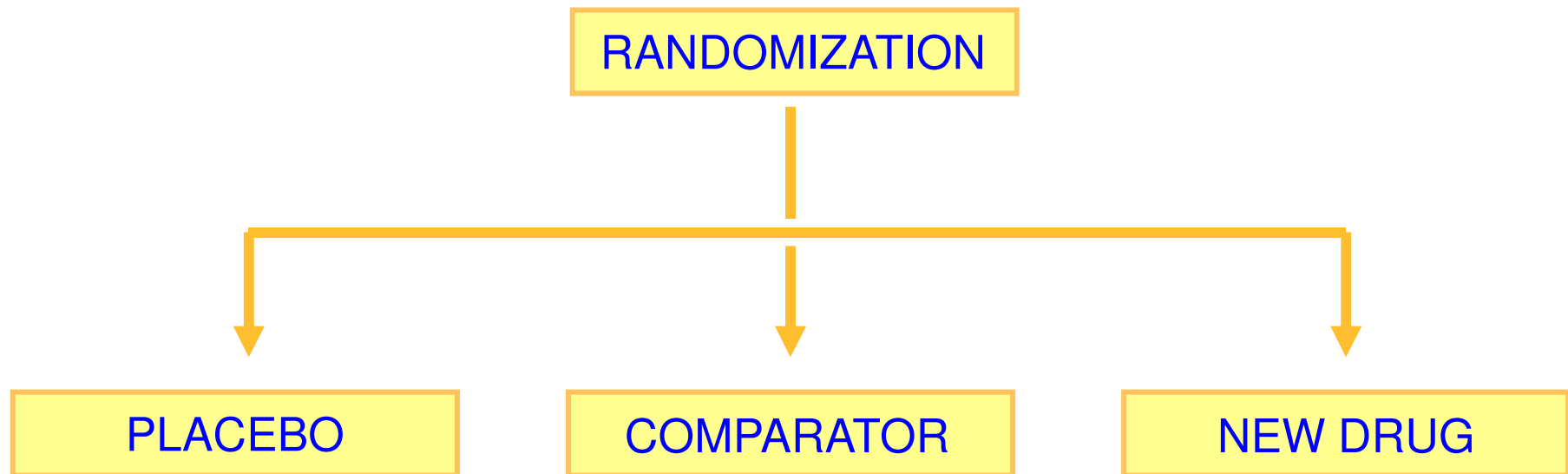
98.8 % PROVIDED N.I. MARGINS
BUT ONLY 27.6 % PROVIDED A
JUSTIFICATION FOR THE
SELECTED MARGIN

Are there specific reasons for allowing a non-inferiority approach?

- There may be non-responders to current treatments and products with comparable activity may offer a useful alternative.

If the target is non-responders to current treatments, why not test their superiority over drugs with little effect in this subset of patients?

THREE ARM TRIAL



PLACEBO IS NOT NECESSARY IN THE DESIGN OF SUPERIORITY

EVIDENCE

- INAPPROPRIATE USE OF PLACEBO
- DESIGN OF NON INFERIORITY
- SURROGATE END-POINTS

Surrogate Endpoints vs. Clinical Endpoints

Surrogate endpoints used in drug therapy

<u>Therapeutic Class</u>	<u>Surrogates/ Biomarkers</u>	<u>Clinical Endpoint</u>
Antihypertensive	↓ blood pressure	↓ stroke
Glaucoma Rx	↓ intraocular pressure	↓ loss of vision
Osteoporosis Rx	↑ bone density	↓ fracture rate
Antiarrhythmia Rx	↓ arrhythmias	↑ survival
HIV Rx	↑ CD4 ↓ viral RNA	↓ AIDS progression
Hyperlipidemia Rx	↓ cholesterol	↓ coronary artery dis.
Antidiabetic Rx	↓ HbA1c	↓ morbidity
Antibiotics	negative culture	clinical cure
Prostate cancer Rx	↓ PSA	tumor response

Adapted from: Woodcock J. Biomarkers: Physiological & Laboratory Markers of Drug Effect. Food and Drug Administration, February 1, 2007.

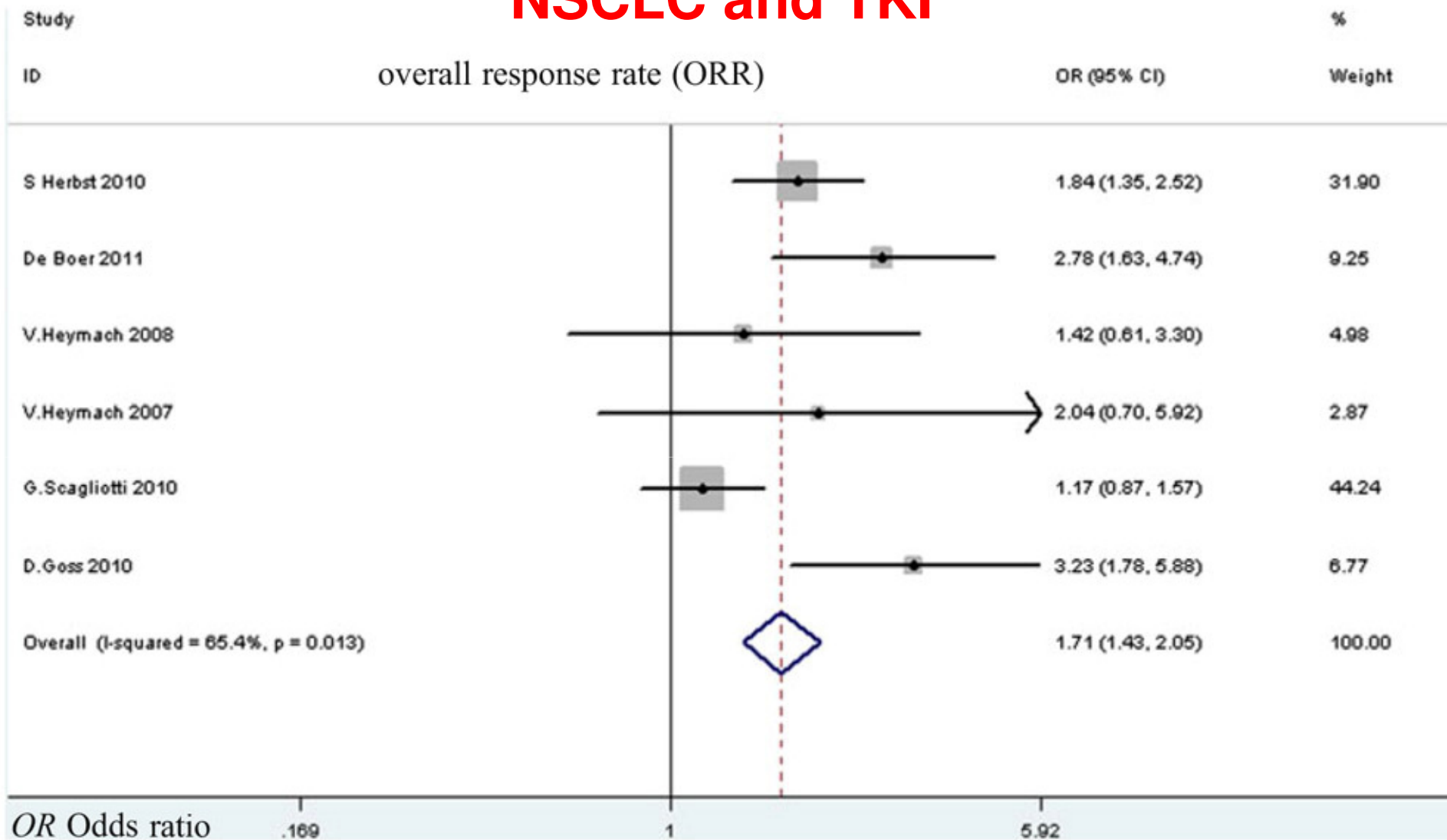
Characteristic	Trial endpoints in efficacy studies supporting approval of new drug indications		
	<u>Clinical outcome</u>	<u>Clinical scale</u>	<u>Surrogate outcome</u>
	Supplemental indications	Supplemental indications	Supplemental indications
Supplement category:			
New indication	44/137 (32)	43/137 (31)	50/137 (36)
Modified indication	28/93 (30)	23/93 (25)	41/93 (44)
Expanded population	14/65 (22)	17/65 (26)	25/65 (38)

Comparison of treatment effects of trials using surrogate outcomes with trials using final patient relevant outcomes: primary and sensitivity analyses

Method of analysis	Risk ratio* (95% CI)	
	Surrogate outcomes	Patient relevant outcomes
Primary analysis:		
Binary outcomes (51 surrogate v 83 patient relevant)	0.51 (0.42 to 0.60)	0.76 (0.70 to 0.82)
Sensitivity analyses:		
Inclusion of risk ratios as reported by authors (57 v 86)	0.56 (0.48 to 0.65)	0.80 (0.75 to 0.86)
Inclusion of continuous outcomes (84 v 101)	0.46 (0.39 to 0.54)	0.68 (0.62 to 0.74)
Binary outcomes, matched pairs (43 v 43)	0.48 (0.39 to 0.59)	0.68 (0.61 to 0.77)

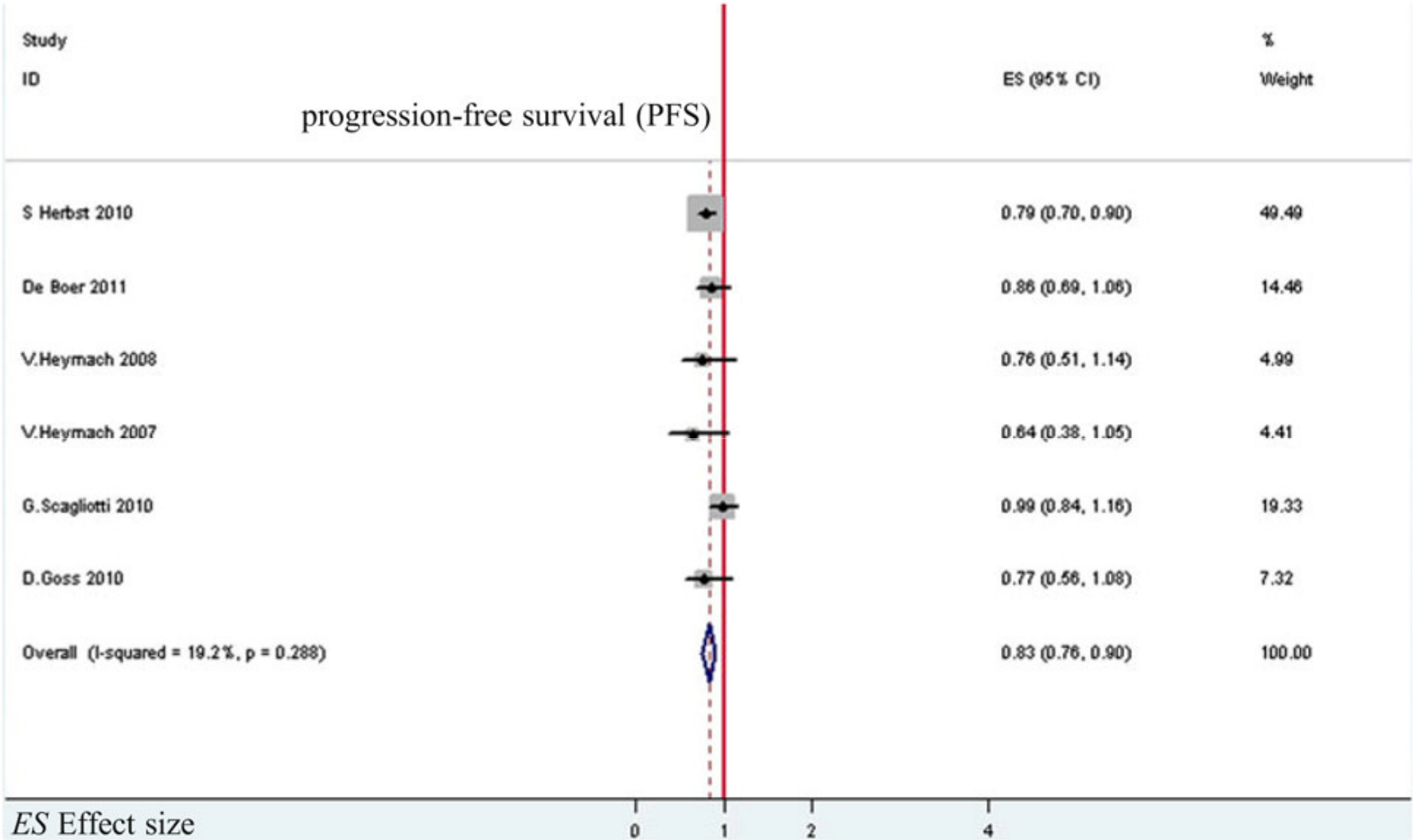
Ciani et al., 2013

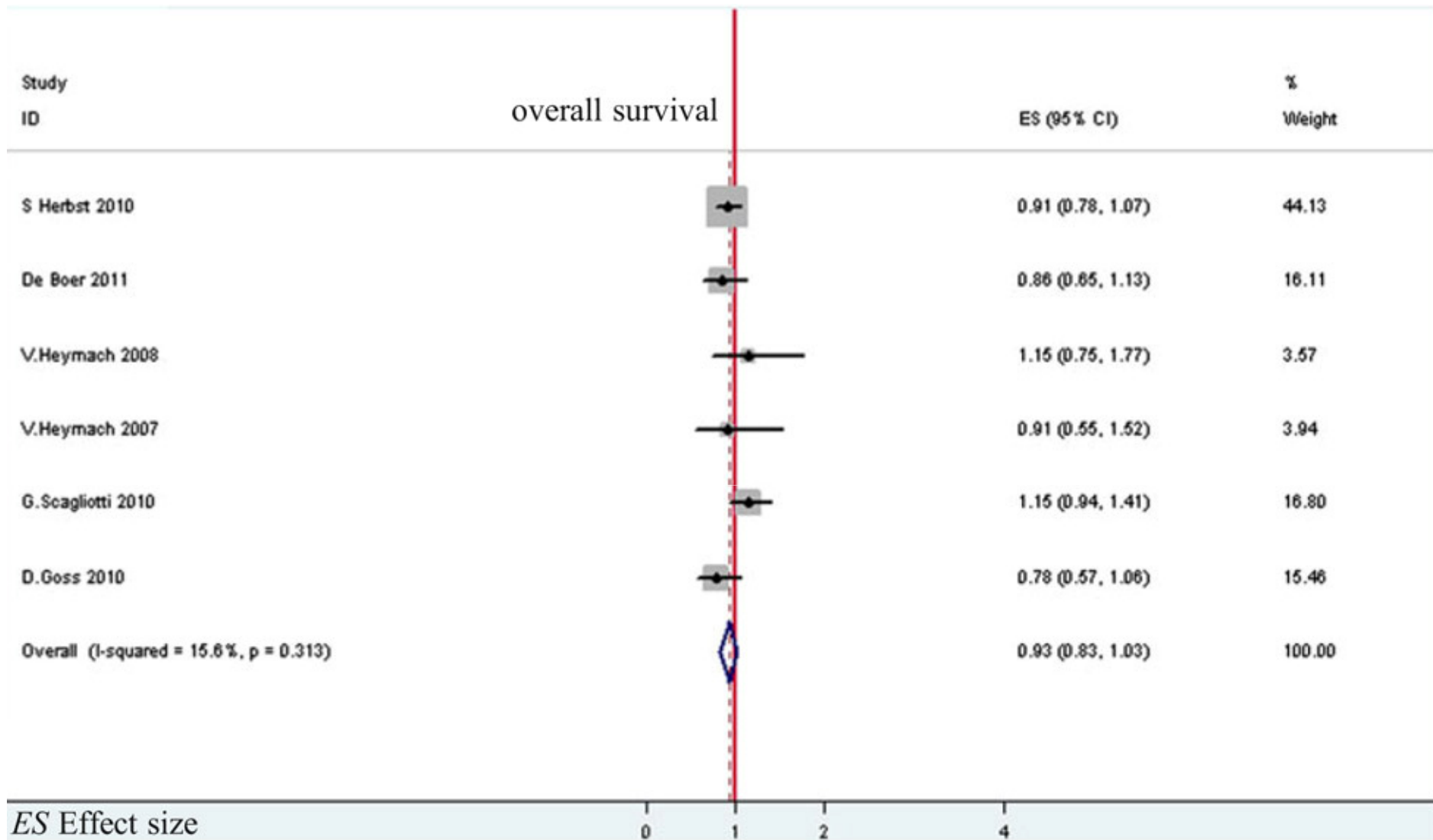
NSCLC and TKI



XIAO et al., 2012

patients with advanced non-small-cell lung cancer (NSCLC)
chemotherapy plus multitargeted antiangiogenic tyrosine kinase inhibitors (TKI)





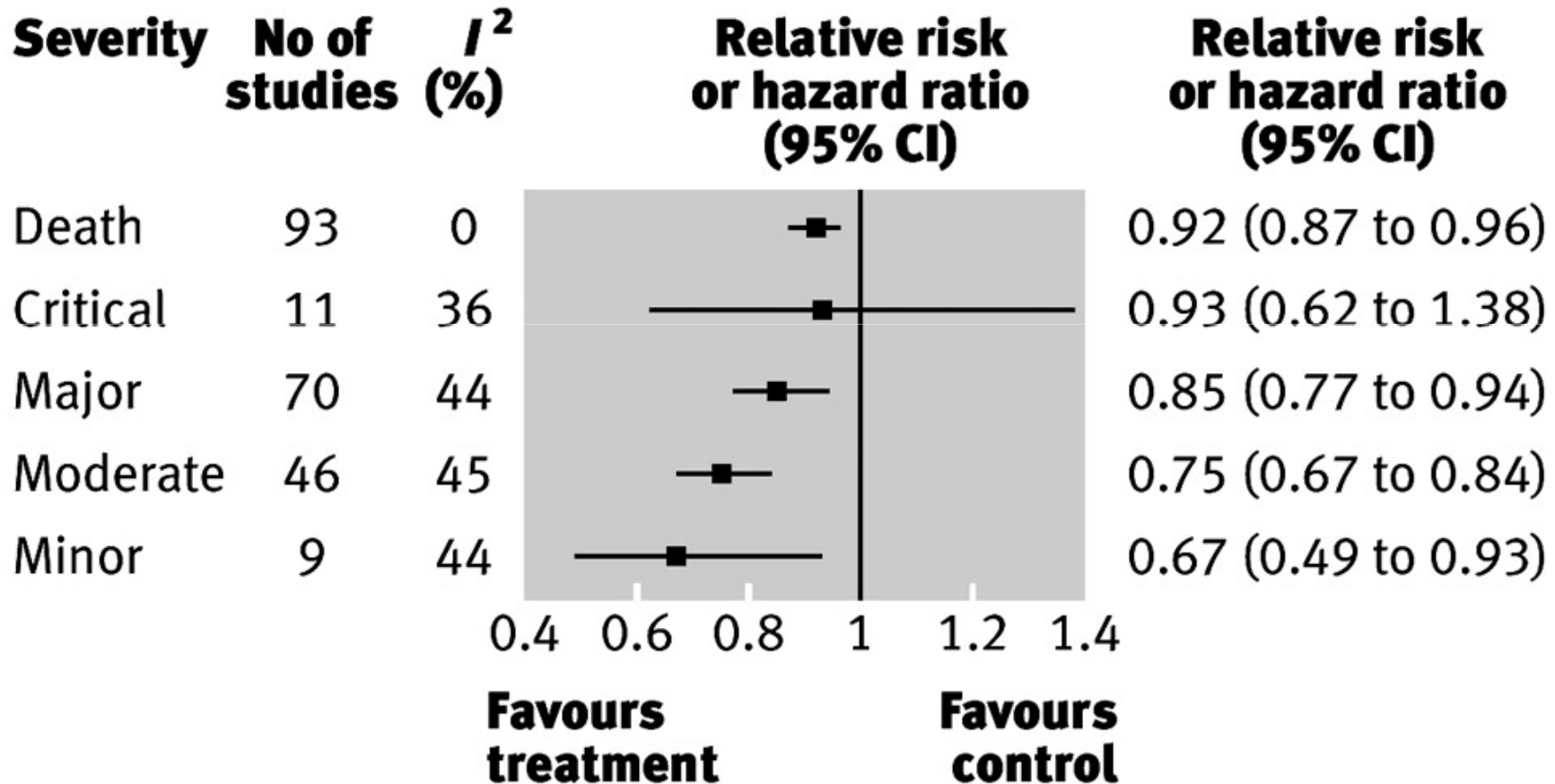
XIAO et al., 2012

EVIDENCE

- INAPPROPRIATE USE OF PLACEBO
- DESIGN OF NON INFERIORITY
- SURROGATE END-POINTS
- COMPOSITE END-POINTS

Variability in magnitude of the effect of intervention across categories of importance to patients

COMPOSITE END-POINTS



RCT and “REAL LIFE” PATIENTS

Participation of Females in Phase I Trials by Product Type

Product type	No. (%) of Studies That Include Female Participants	No. (%) of Participants That Are Female
Anesthesia, analgesia, and rheumatology	22 (88.0)	288 (33.8)
Antiviral	50 (74.6)	645 (27.5)
Cardio-renal	30 (54.5)	188 (27.8)
Gastroenterology	6 (60.0)	147 (43.8)
Metabolism and endocrinology	19 (65.5)	242 (36.4)
Neurology	12 (36.4)	306 (33.2)
Oncology	50 (76.9)	747 (34.2)
Psychiatry	19 (67.9)	234 (26.0)
Pulmonary and allergy	5 (41.7)	43 (24.9)
Reproductive and urologic	3 (100)	105 (37.2)
Special pathogen and transplant	16 (57.1)	148 (26.5)

Pinnow et al., 2009

How representative are clinical study patients with asthma or COPD for a larger “real life” population of patients with obstructive lung disease?

Kjetil Herlanda, Jan-Petter Akselsen^b, Ole Henning Skjøsberg^c, Leif Bjermer^d

We conclude that “evidence based” treatment decisions for OLD are based on studies which include a very small and highly selected fraction of this patient population. It is questionable whether such data can extrapolated to a larger, “real life” population of patients with obstructive lung disease.

Respiratory Medicine (2005) 99, 11-19

Quality of randomised trials in COPD.

Bausch B1, Spaar A, Kleijnen J, Puhan MA.

257 trials assessed pharmacological.

The generation of appropriate randomisation was reported in 27.0% of the trials, concealment of random allocation in 11.6% and an intention-to-treat analysis in 21.8% of trials.

Eur Respir J. 2009 Nov;34(5):1060-5

EVIDENCE

- INAPPROPRIATE USE OF PLACEBO
- DESIGN OF NON INFERIORITY
- SURROGATE END-POINTS
- COMPOSITE END-POINTS
- UNDER EVALUATION OF ADVERSE REACTIONS

REAZIONI AVVERSE DA FARMACI ANTIASMATICI NEI BAMBINI

- MOLTI STUDI CLINICI POCHI RAPPORTI SU REAZIONI AVVERSE
- I PAZIENTI INCLUSI SONO MASCHI (6-11 ANNI)
- PIÙ RAPPORTI SU FARMACI POCO UTILIZZATI
- POCHE INFORMAZIONI SUI MOLTI PAZIENTI CHE ABBANDONANO GLI STUDI

Aagaard, Hansen, 2014

3 KEYWORDS

- EVIDENCE
- ETHICS

METHODOLOGICAL REQUIREMENTS FOR CLINICAL TRIALS

Ask important questions...

...answer them reliably

The objective is the patient,

the goal is his benefit

Yusuf S, Collins R, Peto R.

Why do we need some large, simple randomized trials? Stat Med 1984; 3: 409-420

ETHICS

- PARTICIPATION TO PROTOCOL PREPARATION

ETHICS

- PARTICIPATION TO PROTOCOL PREPARATION
- REGISTRATION OF THE PROTOCOL

ETHICS

- PARTICIPATION TO PROTOCOL PREPARATION
- REGISTRATION OF THE PROTOCOL
- **INFORMED CONSENT**

ETHICS

- PARTICIPATION TO PROTOCOL PREPARATION
- REGISTRATION OF THE PROTOCOL
- INFORMED CONSENT
- **PROPERTY OF DATA**

ETHICS

- PARTICIPATION TO PROTOCOL PREPARATION
- REGISTRATION OF THE PROTOCOL
- INFORMED CONSENT
- PROPERTY OF DATA
- **ACCESS TO RAW DATA**

ETHICS

- PARTICIPATION TO PROTOCOL PREPARATION
- REGISTRATION OF THE PROTOCOL
- INFORMED CONSENT
- PROPERTY OF DATA
- ACCESS TO RAW DATA
- PUBLICATION INDEPENDENTLY FROM RESULTS

Non-publication was more common among trials that received industry funding (150/468, 32%) than those that did not (21/117, 18%), $P=0.003$. Of the 171 unpublished trials, 133 (28%) had no results available in [ClinicalTrials.gov](https://clinicaltrials.gov).

Non-publication of large randomized clinical trials: cross sectional analysis

Christopher W Jones attending physician, Lara Handler school of medicine liaison librarian, Karen E Crowell clinical information specialist², Lukas G Keil research assistant, Mark A Weaver assistant professor, Timothy F Platts-Mills assistant professor

Of 585 registered trials, 171 (29%) remained unpublished.

These 171 unpublished trials had an estimated total enrollment of 299 763 study participants. The median time between study completion and the final literature search was 60 months for unpublished trials.

BMJ 2013;347:f6104 doi:

3 KEYWORDS

- EVIDENCE
- ETHICS
- **LEGISLATION**

Regulatory confidentiality

The reasons for transparency

- The industry is not the sole financier of research.
- Clinical trials require the participation of patients, who take part free of charge.
- In most European states the drug market is prosperous because it is guaranteed by national health services.
- Secrecy may be justifiable in connection with information regarding the production of the active principles and the methods utilized for drug discovery.
- But information on drug development including pre-clinical findings and clinical controlled trials must be available for scrutiny by clinicians and patients.

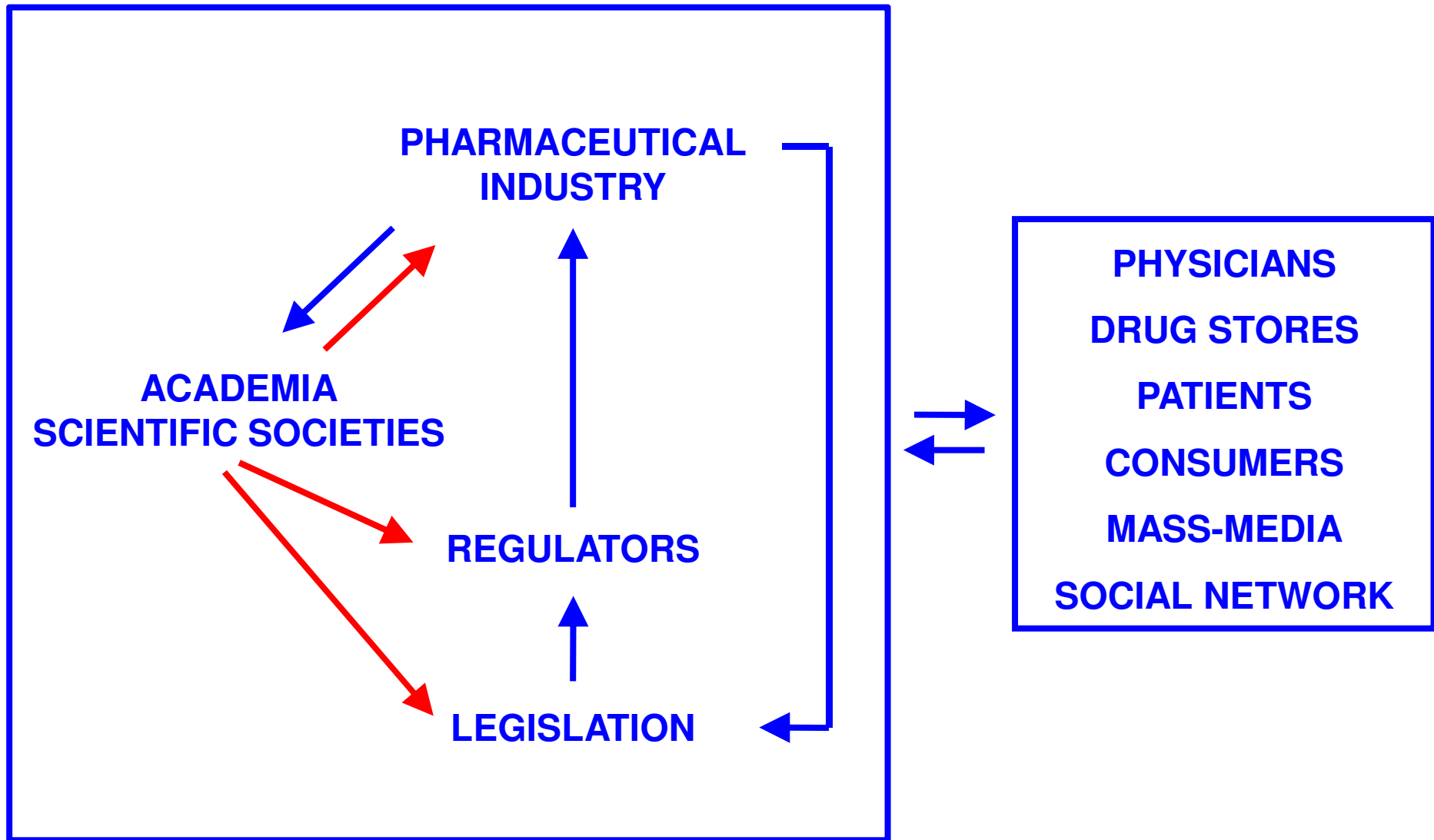
CHANGE NEEDED IN EUROPEAN LEGISLATION

- QUALITY, EFFICACY, SAFETY
- QUALITY, EFFICACY, SAFETY
AND THERAPEUTIC ADDED VALUE

**Two pivotal trials
needed to support MAA**

**One sponsor-driven
RCT**

**One independent
RCT**



PRE-MARKET



POST-MARKET